

PATENT
Attorney Docket No. BDO-001.01

In re Application of: Levin et al.

Application No: Not Yet Assigned

Filed: December 4, 2003

For: Resolving License Dependencies for
Aggregations of Legally-Protectable
Content

Art Unit: Not Yet Assigned

Examiner: Not Yet Assigned

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.97 and 1.98, Applicants hereby make of record the patents and publications listed on the accompanying Form PTO-1449, and other information contained herein, for consideration by the Examiner in connection with the examination of the above-identified patent application. Copies of the patents and publications are enclosed.

REMARKS

In accordance with the provisions of 37 C.F.R. 1.97, this statement is being filed:

- ☒ (1) within three (3) months of the **filing date** of a national application other than a continued prosecution application under 37 C.F.R. 1.53(d), or within three (3) months of the **date of entry of the national stage** as set forth in 37 C.F.R. 1.491 in an international application, or before the mailing of the **first Office Action** on the merits, or before the mailing of a **first Office Action** after the filing of a request for continued examination under 37 C.F.R. 1.114; or
- ☐ (2) after the period defined in (1) but before the mailing date of a **final action** or a **notice of allowance** under 37 C.F.R. 1.311, and
- ☐ the requisite Statement is below, **OR**
- ☐ the requisite fee under 37 C.F.R. 1.17(p), namely **\$180.00**, is included herein, or
- ☐ (3) after the mailing date of a **final action** or **notice of allowance** but before the payment of the **issue fee**, **AND**

- ☐ the requisite Statement is below, **AND**
- ☐ the requisite petition fee under 37 C.F.R. 1.17(p), namely **\$180.00** is included herein.

It is respectfully requested that each of the patents and publications listed on the attached Form PTO-1449, and other information contained herein, be made of record in this application.

STATEMENT

As required under 37 C.F.R. 1.97(e), Applicant(s), through the undersigned, hereby state either that **[check the appropriate space only if either (2) or (3) is checked on the previous page and the Statement is required]**:

- ☐ 1. Each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application **not more than three months** prior to the filing of the Information Disclosure Statement; or
- ☐ 2. No item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this Statement after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to **any individual** designated in 37 C.F.R. 1.56(c) **more than three months** prior to the filing of the Information Disclosure Statement.

Respectfully Submitted,
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Form PTO-1449 INFORMATION DISCLOSURE CITATION IN AN APPLICATION <i>(Use several sheets if necessary)</i>	Docket Number (Optional) BDO-001.01		Application Number Not Yet Assigned	
	Applicant Levin et al.			
	Filing Date December 4, 2003		Group Art Unit Not Yet Assigned	

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER		DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	A1	5,313,616	05/17/94	Cline et al.	395	500	09/18/90
	A2	5,774,883	06/30/98	Andersen et al.	205	38	05/25/95
	A3	5,917,912	06/29/99	Ginter et al.	380	24	01/08/97
	A4	5,958,051	09/28/99	Renaud et al.	713	200	01/09/97
	A5	6,035,402	03/07/00	Vaeth et al.	713	201	12/20/96
	A6	6,072,493	06/06/00	Driskell et al.	345	356	03/30/98
	A7	6,189 ,146 B1	02/13/01	Misra et al.	717	11	03/18/98
	A8	6,249,769 B1	06/19/01	Ruffin et al.	705	7	11/02/98
	A9	6,260,141 B1	07/10/01	Park	713	155	03/01/00
	A10	6,330,670 B1	12/11/01	England et al.	713	2	01/08/99
	A11	6,480,959 B1	11/12/02	Granger et al.	713	189	11/20/98
	A12	6,546,114 B1	04/08/03	Venkatesan et al.	382	100	09/07/99
	A13	6,574,348 B1	06/03/03	Venkatesan et al.	382	100	09/07/99
	A14	US 2002/0065781 A1	05/30/02	Hillegass et al.	705	59	04/27/01
	A15	US 2002/0138441 A1	09/26/02	Lopatic	705	59	08/03/01
	A16	US 2002/0138764 A1	09/26/02	Jacobs et al.	713	201	01/31/02
	A17	US 2003/0172035 A1	09/11/03	Cronce et al.	705	59	03/08/02
	A18	US 2002/0188608 A1	12/12/02	Nelson et al.	707	10	06/12/01
	A19	US 2002/0194010 A1	12/19/02	Bergler et al.	705	1	06/15/01
	A20	US 2003/0126456 A1	07/03/03	Birzer et al.	713	193	11/12/02
	A21	US 2003/0167236 A1	09/04/03	Stefik et al.	705	51	03/31/03

FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
					YES	NO

OTHER DOCUMENTS*(Including Author, Title, Date, Pertinent Pages Etc.)*

C1	GPL Compliance Toolset, http://www.metrowerks.com/MW/Develop/Embedded/Linux/GPLCT.htm , printed on 11/25/03, pp. 1-2
C2	ZDNet UK- News- Motorola picks Lineo for set-top box, http://news.zdnet.co.uk/hardware/emergingtech/0.39020357.2093969.00.htm , printed on 11/26/03, pp. 1-3

Form PTO-1449		Docket Number (Optional) BDO-001.01	Application Number Not Yet Assigned
INFORMATION DISCLOSURE CITATION IN AN APPLICATION <i>(Use several sheets if necessary)</i>		Applicant Levin et al.	
		Filing Date December 4, 2003	Group Art Unit Not Yet Assigned
C3	Linco launches 'anti-FUD' campaign with license ID tool, http://www.linuxdevices.com/news/NS6538038187.html , printed on 11/26/03, pp. 1-4		
C4	Linux DevCenter: Lineo's GPL Compliance Tool [Oct. 4, 2001], http://www.oreillynet.com/pub/a/linux/2001/10/04/lineo.html , printed on 11/26/03, pp. 1-4		
C5	LIDESC: Librock License Awareness System, http://www.mibsoftware.com/librock/lidesc , printed on 11/26/03, pp. 1-2		
C6	Creating a LIDESC_TAGS string, http://www.mibsoftware.com/librock/lidesc/tags.htm , printed on 11/26/03, pp. 1-9		
C7	NewsForge/ New utility helps prevent software license violations, http://www.newsforge.com/software/02/04/23/163211.shtml?tid=51 , printed on 11/26/03, pp. 1-3		
C8	New utility helps prevent software license violations [NewsForge], http://www.linuxdevices.com/news/NS3340825769.html , printed on 11/26/03, pp. 1-3		
C9	[fsl-discuss] Automatic reporting of license terms, http://lists.alt.org/pipermail/fsl-discuss/2002-April/000309.html , printed on 11/26/03, pp. 1-2		
C10	[fsl discuss] Automatic reporting of license terms, http://lists.alt.org/pipermail/fsl-discuss/2002-April/000312.html , printed on 11/26/03, pp. 1-3		
C11	Query FTP Software License Database, http://www.uth.tmc.edu/iaims/network/ftp.html , printed on 11/26/03, p. 1		
C12	TapTopFolder, http://www.systemconsulting.co.uk/index.php?page=software/products.html , printed on 11/26/03, p. 1		
C13	TapTopFolder, http://www.systemconsulting.co.uk/index.php?page=software/products/writeme.html , printed on 11/26/03, p. 1		
C14	TapTopFolder, http://www.systemconsulting.co.uk/index.php?page=software/products/licensedb.html , printed on 11/26/03, p. 1		
C15	ASAP Software, A Buhrmann Company, 1999-2002 ASAP Software, pp. 1-2		
C16	http://www.mibsoftware.com/librock/lidesc , printed 7/18/03, pp. 1-23		
C17	Article: How Copyleft Uses License Rights to Succeed in the Open Source Software Revolution and the Implication for Article 2B, HCUL.doc, 10/2/03, pp. 179-194		
C18	Various Licenses and Comments about Them- GNU Project- Free Software Foundation (FSF), http://www.fsf.org/licenses/license-list.html , printed 11/26/03, pp. 1-15		
C19	Cleanscape lint jump page, http://www.cleanscape.net/products/lintonline/login.html , printed on 11/26/03, pg. 1		

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INFORMATION DISCLOSURE CITATION IN AN APPLICATION <i>(Use several sheets if necessary)</i>		Applicant Levin et al.	
		Filing Date December 4, 2003	Group Art Unit Not Yet Assigned
	C20	Code Analysis Settings, http://www.ascensionlabs.com/pbca_CodeAnalysisSettings.htm , printed on 11/26/03, pg. 1	
	C21	PB Code Analyzer, http://www.ascensionlabs.com/pbcodeanalyzer.htm , printed on 11/26/03, pp. 1-5	
	C22	Microsoft Software Asset Management: Microsoft Software Inventory Analyzer, http://www.microsoft.com/resources/sam/msia.aspx , printed on 11/26/03, pg. 1	
	C23	Fougnier, Network Working Group Request for Comments: 1170, "Public Key Standards and Licenses", http://ftp.rfc-editor.org/in-notes/rfc1170.txt , printed on 11/26/03, pp. 1-2	
	C24	Huitema, Network Working Group Request for Comments: 1383, "An Experiment in DNS Based IP Routing", http://ftp.rfc-editor.org/in-notes/rfc1383.txt , printed on 11/26/03, pp. 1-14	
	C25	Eastlake et al, Network Working Group Request for Comments: 3174, "US Secure Hash Algorithm 1 (SHA1)", http://ftp.rfc-editor.org/in-notes/rfc3174.txt , printed on 11/26/03, pp. 1-21	
	C26	Arsenault et al., Network Working Group Request for Comments: 3157, "Securely Available Credentials- Requirements", http://ftp.rfc-editor.org/in-notes/rfc3157.txt , printed on 11/26/03, pp. 1-19	
	C27	Rescorla et al., Network Working Group Request for Comments: 2660, "The Secure HyperText Transfer Protocol", http://ftp.rfc-editor.org/in-notes/rfc2660.txt , printed on 11/26/03, pp. 1-43	
	C28	Pethia et al., Network Working Group Request for Comments: 1281, "Guidelines for the Secure Operation of the Internet", http://ftp.rfc-editor.org/in-notes/rfc1281.txt , printed on 11/26/03, pp. 1-10	
	C29	Rivest, Network Working Group Request for Comments: 1321, "The MD5 Message-Digest Algorithm", http://ftp.rfc-editor.org/in-notes/rfc1321.txt , printed on 11/26/03, pp. 1-2	
	C30	Open Source Initiative OSI- The Open Source Definition, http://www.opensource.org/docs/definition.php , printed on 11/26/03, pp. 1-4	
	C31	Open Source Initiative OSI- Certification Mark and Process, http://www.opensource.org/docs/certification_mark.php , printed on 11/26/03, pp. 1-5	
	C32	Open Source Initiative OSI- Licensing, http://www.opensource.org/licenses/index.php , printed on 11/26/03, pp. 1-2	
	C33	Open Source Initiative OSI- The Attribution Assurance License: Licensing, http://www.opensource.org/licenses/attribution.php , printed on 11/26/03, pp. 1-3	
EXAMINER		DATE CONSIDERED	
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.			

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE